



**U.S. Securities and
Exchange Commission**

Tips, Complaints, and Referrals

Summary Page - After Submission

**This export was generated on Wed, January 07,
2026 at 03:11:02 PM EST**

The Complaint Form questions that you responded to, the answers you entered for those questions, and any documents that you have uploaded to this TCR are listed below.

Submission Number: 17678-166-597-663

Thank you for contacting the United States Securities and Exchange Commission. This automated response with your Submission Number confirms that your submission has

been received successfully. Please write down your Submission Number or print/save a copy of your submission for future reference. Once you navigate away from this page you will not be able to get back to your submission.

We are always interested in hearing from the public, and your submission will be given careful consideration in view of the Commission's overall responsibilities under the federal securities laws. Please note, however, that it is the Commission's policy to conduct its investigations on a non-public basis in order to preserve the integrity of its investigative process. Subject to the provisions of the Freedom of Information Act, we cannot disclose to you any information which we may gather, nor can we confirm the existence or nonexistence of an investigation, unless such information is made a matter of public record in proceedings brought before the Commission or the courts. Therefore, this may be the only response that you receive. If you want to learn more about how the Commission handles inquiries or complaints, please visit

http://www.sec.gov/complaint/info_tipscomplaint.shtml.

Please note that if you selected an answer of “Yes” to the question indicating that you want to file this information

under the SEC's Whistleblower program, you are agreeing to the following statement:

- I declare under penalty of perjury under the laws of the United States that the information contained herein is true, correct and complete to the best of my knowledge, information, and belief. I fully understand that I may be subject to prosecution and ineligible for a whistleblower award if, in my submission of information, my other dealings with the SEC, or my dealings with another authority in connection with a related action, I knowingly and willfully make any false, fictitious, or fraudulent statements or representations, or use any false writing or document knowing that the writing or document contains any false, fictitious, or fraudulent statement or entry.

If you are an attorney completing this form on behalf of your client, by selecting an answer of "Yes" to the question indicating that you want to file this information under the SEC's Whistleblower program, you are agreeing to the following statement:

- I certify that I have reviewed this form for completeness

and accuracy and that the information contained herein is true, correct and complete to the best of my knowledge, information and belief. I further certify that I have verified the identity of the whistleblower on whose behalf this form is being submitted by viewing the whistleblower's valid, unexpired government issued identification (e.g., driver's license, passport) and will retain an original, signed copy of this form, with Section F signed by the whistleblower, in my records. I further certify that I have obtained the whistleblower's nonwaivable consent to provide the Commission with his or her original signed Form TCR upon request in the event that the Commission requests it due to concerns that the whistleblower may have knowingly and willfully made false, fictitious, or fraudulent statements or representations, or used any false writing or document knowing that the writing or document contains any false fictitious or fraudulent statement or entry; and that I consent to be legally obligated to do so within 7 calendar days of receiving such a request from the Commission.

PRINT SUBMISSION

What is your complaint about?

Please select the option that best describes your complaint.

Material misstatement or omission in a company's public filings or financial statements, or a failure to file

Please select the specific category that best describes your complaint.

Audit

Is this supplemental information to a previous complaint?

Yes

What is the Submission Number of the previous complaint?

17676-751-404-403

In your own words, describe the conduct or situation you are complaining about.

This submission is supplemental to my prior TCR report (No. 17676-751-404-403) and is intended to correct and narrow the scope of that report.

While operating a legitimate lead-generation and data

services business, I retained legacy event and vendor databases from approximately 2016–2018. These materials were obtained incidentally through normal business activity and not through intrusion or unauthorized access.

The files include plaintext administrative credentials, API keys, and personnel identifiers used by third-party vendors supporting event registration and onsite technical operations. Some of the data involves entities that are federally regulated or federal-adjacent.

I am not asserting that these credentials accessed live operational systems or that any specific physical incident occurred as a result. I am submitting the materials so the Commission may independently assess whether there were deficiencies in internal controls, third-party risk management, audit scope, or cybersecurity-related disclosures.

This corrective disclosure is provided in good faith to ensure accuracy and to allow independent review. I am prepared to provide source files, metadata, and chain-of-custody context upon request.

Are you having or have you had difficulty getting access to your funds or securities?

No

Did you suffer a loss?

No

When did you become aware of the conduct?

(mm/dd/yyyy)

01/03/2026

When did the conduct begin? (mm/dd/yyyy)

01/07/2016

Is the conduct ongoing?

Unknown

Has the individual or firm acknowledged the conduct?

No

How did you learn about the conduct? You may select more than one answer.

Internal business documents

Have you taken any action regarding your complaint? You may select more than one answer.

Complained to SEC

Provide details.

I previously submitted a tip to the SEC regarding this matter. This submission is intended to clarify and correct the scope of that disclosure based on additional review of the underlying data.

Who are you complaining about?

Person or Firm 1

Are you complaining about a person or a firm?

Firm

Select the title that best describes the firm the complaint is about.

Publicly held company

Are you or were you associated with the person or firm when the alleged conduct occurred?

No

Identifier Type

Ticker Symbol

Ticker Symbol

AEP

Are you a current or former Employee, Officer, Partner, or Employee Director of any entity you are complaining about?

No

Are you a current or former Non-Employee Director, Consultant, Contractor or Trustee of any entity you are complaining about?

No

Firm Name

American Electric Power Company

If the complaint is about an entity or person that has custody or control of your investments, have you had difficulty contacting that entity or person?

No

Which investment products are involved?

Select the type of product involved in your complaint.

Equities (e.g., common stock, preferred stock)

Please select the category that best describes the security product.

Common stock (exchange-traded stock)

Enter the ticker symbol, if known.

AEP

Enter the product name(s), if known.

American Electric Power Company, Inc.

About you

Are you filing this tip under the SEC's whistleblower program?

Yes

Are you an attorney filling out this form on behalf of an anonymous whistleblower client who is seeking an award?

No

Title

Mr

First Name

Courtney

Last Name

Thomas

Mobile Telephone

3187711488

Other Telephone

3183492768

Email Address

ceejaythomas702@gmail.com

What is the best way to reach you?

Phone

Are you represented by an attorney in connection with this matter, or would you like to provide your attorney's contact information?

No

Select the profession that best represents you.

Other

For Other, please specify.

I used to operate a lead business where i would sale leads to the leads i had. It failed. I had another idea and thought i might've found the cure to cancer. Through frequency adjustment you can realign dna strands. I remembered i had acces to leads in the medical field and wanted to send this discovery to them. I'm an inventor. I guess

Have you reported the matter at issue in this submission to your supervisor, compliance office, whistleblower hotline, ombudsman, or any other available mechanism for reporting possible violations at any entity you are complaining about?

No

Were you retaliated against for reporting the matter at issue in this submission either internally at the entity or to a regulator?

No

Has anyone taken steps to prevent you from reporting this violation to the SEC?

No

Are documents or other information being submitted that could potentially identify the whistleblower?

No

Does the whistleblower want to be eligible to apply for a whistleblower award?

Yes

1. Are you, or were you at the time you acquired the original information you are submitting to us, a member, officer or employee of the Department of Justice; the Securities and Exchange Commission; the Comptroller of the Currency; the Board of Governors of the Federal Reserve System; the Federal Deposit Insurance Corporation; the Office of Thrift Supervision; the Public Company Accounting Oversight Board; any law enforcement organization; or any national securities exchange, registered securities association, registered clearing agency, or the Municipal Securities Rulemaking Board?

No

2. Are you, or were you at the time you acquired the original information you are submitting to us, a member, officer, or employee of a foreign government, any political subdivision, department, agency, or instrumentality of a foreign government, or any other foreign financial regulatory authority as that term is defined in Section 3(a)(52) of the Securities Exchange Act of 1934 (15 U.S.C. Section §78c(a)(52))?

No

3. Did you acquire the information being submitted to us through the performance of an engagement required under the federal securities laws by an independent public accountant?

No

4. Are you providing this information pursuant to a cooperation agreement with the SEC or another agency or organization?

No

5. Are you a spouse, parent, child, or sibling of a member or employee of the SEC, or do you reside in the same household as a member or employee of the SEC?

No

6. Have you or anyone representing you received any request, inquiry or demand that relates to the subject matter of your submission (i) from the SEC; (ii) in connection with an investigation, inspection or examination by the Public Company Accounting Oversight Board, or any self-regulatory organization; or (iii) in connection with an investigation by Congress, any other authority of the federal government, or a state Attorney General or securities regulatory authority?

No

7. Are you currently a subject or target of a criminal investigation, or have you been convicted of a criminal violation, in connection with the information you are submitting to the SEC?

No

8. Did you acquire the information being provided to us from any person described in Questions 1 through 7?

No

I declare under penalty of perjury under the laws of the United States that the information contained herein is true, correct and complete to the best of my knowledge, information, and belief. I fully understand that I may be subject to prosecution and ineligible for a whistleblower award if, in my submission of information, my other dealings with the SEC, or my dealings with another authority in connection with a related action, I knowingly and willfully make any false, fictitious, or fraudulent statements or representations, or use any false writing or document knowing that the writing or document contains any false, fictitious, or fraudulent statement or entry.

Agree

Attach Files

Upload Document(s)

- *3M .txt* (291 bytes)
- *AISES 2017.zip* (5.4 MB)
- *ATS Final ROSTER (003).xls* (197.69 KB)
- *PHPU 2017 Data.accdb* (1.16 MB)
- *NCCHC-Fall 2018 Data.accdb* (1.85 MB)
- *TRA 2017 INV.MDB* (2.18 MB)
- *US ARMY CORP OF ENGINEERS.txt* (6.25 KB)